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FORM PTO-1	FORM PTO-1449  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE					IC2D2D2			16,969	
						FIRST NAMED INVENTOR				
LIST OF	REF	ERENCES C	ITED BY	APPLICANT		YASHIDA	·			
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	July 14, 2000									
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*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME		CLAS		SUB- CLASS		DATE
AK	AA	5,012,076	04/1991	Yoshida						
ALL	AB	4,305,059	12/1981	Benton		<u> </u>			<u> </u>	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.										

APPLICATION NO. 09/616,969

GROUP ART UNIT

2876

LIST OF ADDITIONAL SUBMITTED	826.1034C2D2D2 FIRST NAMED INVENTOR
DOCUMENTS	Shoji HAYASHIDA
	July 14, 2000

The following document(s) is/are listed in accordance with the duty of disclosure provisions of 37 CFR § 1.56, so that the Examiner may consider same should he deem any thereof to be material to examination of the subject application. Pursuant to 37 CFR 1.98(a)(2)(iv), a copy of any identified documents is provided.

ATTORNEY DOCKET NO.

It is requested that the Examiner acknowledge his consideration of document(s) below-listed by initialing same in the space provided adjacent each such application and that the Examiner sign and date this form at the bottom thereof to confirm such consideration having been given.

This submission in no way represents an admission that any of the information listed herein constitutes prior art with respect to the subject application and unless and until such prior art status is established, this submission is not a request that the information presented herein be printed on the face of any patent issuing from the subject application in which this information is being filed.

**U.S. PATENT DOCUMENTS** 

*EXAMINER INITIAL	,	DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
	AB						

**FOREIGN PATENT DOCUMENTS** 

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSL YES	ATION NO
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OTHER DOC	UME	NTS (Including Author, Title, Date, Pertinent Pages, Etc.)	TRANSI YES	ATION NO
AL "	AE	Summons to Oral Proceedings mailed May 2, 2003 in the counterpart European Patent No. 416916 opposition, including preliminary opinion of the European Opposition Division regarding claim 1, 18 pages		

EXAMINER	Ahshik	Km	DATE CONSIDERED
*EXAMINER:	Initial if reference con	sidered, whether or not c	itation is in conformance with MPEP 609; Draw line through

citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



### ATTACHMENT 1(g)

## LIST OF ADDITIONAL SUBMITTED DOCUMENTS

ATTORNEY DOCKET NO.	APPLICATION NO.
826.1034C2D2D2	09/616,969
FIRST NAMED INVENTOR	
Shoji HAYASHIDA	
FILING DATE	GROUP ART UNIT
July 14, 2000	2876

The following document(s) is/are listed in accordance with the duty of disclosure provisions of 37 CFR § 1.56, so that the Examiner may consider same should he deem any thereof to be material to examination of the subject application. Pursuant to 37 CFR 1.98(a)(2)(iii), a copy of identified documents is provided.

It is requested that the Examiner acknowledge his consideration of document(s) below-listed by initialing same in the space provided adjacent each such application and that the Examiner sign and date this form at the bottom thereof to confirm such consideration having been given.

This submission in no way represents an admission that any of the information listed herein constitutes prior art with respect to the subject application and unless and until such prior art status is established, this submission is not a request that the information presented herein be printed on the face of any patent issuing from the subject application in which this information is being filed.

### OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

Au	AA	European Patent Office, EP 0416 916, Communication of Notices of Opposition, including Grounds of Opposition and English translation of the Grounds of Opposition, May 3, 2000.
Ak	AB	European Patent Office, EP 0416 916, Communication of Notices of Opposition, May 16, 2000.
Au	AC	Written submission provided on September 22, 2000 to European Patent Office by Applicant of the above-identified application in the May 3, 2000 Opposition of EP 0416 916 (items AA and AB above) in the European Patent Office, dated September 22, 2000.

EXAMINER	DATE CONSIDERED					
Ahshik Kim	11-12-04					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						



# LIST OF RELATED PATENTS AND COPENDING APPLICATIONS

	ATTACHMENT 1(f)
ATTORNEY DOCKET NO.	APPLICATION NO.
826.1034C2D2D2	09/616,969
FIRST NAMED INVENTOR	
Shoji HAYASHIDA	
FILING DATE	GROUP ART UNIT
July 14, 2000	2876

The following, prior-filed, copending U.S. patent application(s) is/are listed in accordance with the duty of disclosure provisions of 37 CFR §1.56, so that the Examiner may consider same should he deem any thereof to be material to examination of the subject application.

Pursuant to 37 CFR 1.98(a)(2)(iii), a copy of pending claims of the identified copending application in item 1 below is provided.

It is requested that the Examiner acknowledge his consideration of application(s) below-listed by initialing same in the space provided adjacent each such application and that the Examiner sign and date this form at the bottom thereof to confirm such consideration having been given.

This submission in no way represents an admission that any of the information listed herein constitutes prior art with respect to the subject application and unless and until such prior art status is established, this submission is not a request that the information presented herein be printed on the face of any patent issuing from the subject application in which this information is being filed.

#### **RELATED PENDING U.S. PATENT APPLICATION DOCUMENTS**

*EXAMINER INITIAL		U.S. SERIAL NO.	FILING DATE	NAME	ASSIGNEE
SIL	1	10/045,094	01/15/02	HAYASHIDA	FUJITSU LIMITED
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	<u> </u>	R	ELATED U.S. PA	TENT DOCUMENTS	
*EXAMINER INITIAL		Document No.	ISSUE DATE	. NAME	FILING DATE

*EXAMINER INITIAL		Document No.	ISSUE DATE	. NAME	FILING DATE
AK	1	5,644,118	07/1997	HAYASHIDA	04/19/96
	2	6,003,767	12/1999	HAYASHIDA	06/03/96
	3	5,949,043	09/1999	HAYASHIDA	05/16/97
	4	6,003,762	12/1999	HAYASHIDA	07/26/94
	5	6,378,775	04/30/02	HAYASHIDA	05/11/01
·AK	6	6,257,487	07/10/01	HAYASHIDA	08/02/99
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

Sheet 1 of 1

826.1034C2D2D2 FIRST NAMED INVENTOR 09/616,969

### LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

Shoji HAYASHIDA

FILING DATE GROUP ART UNIT

July 14, 2000 2876

**U.S. PATENT DOCUMENTS** 

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*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
AK	AA	5,012,076	April/30/1991	Yoshida			
AL	AB	4,105,156	Aug/8/1978	Dethloff			
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**FOREIGN PATENT DOCUMENTS** 

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		NO.	DATE	COUNTRY	CLASS	CLASS	YES	NO
AL	AG	A 2-1049	01/1990	Japan (USP 5,012,076 in item AA above is provided as English translation)			X	
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	Al							·
	AJ		<u> </u>					<b> </b>

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AK	AK	European Search Report for EP 96 203 461 dated September 15, 1998.
AK	AL	P. Remery, et al. "Le paiement electronique", L Echo des RECHERCHES, No. 134, 1988 (INCLUDING ENGLISH TRANSLATION: P. Remery, et al., "The Electronic Payment", L'Echo des RECHERCHES No. 134 1988, 4 <sup>th</sup> Quarter).
AL	AM	The Society for the Study of the Commercialization of IC Cards, Japanese, December 1987 (INCLUDING ENGLISH TRANSLATION: "A Report by the Society for the Study of the Commercialization of IC Cards", December 1987).

Ahshik Kim 11-12-04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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			826.1034-C2D2D2 09/616,969				<u> </u>		
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